IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: C. Keith Attorney Docket No.: IVEN125525

Application No.: 09/802,163 Art Unit: 3628 / Confirmation No: 1129

Filed: March 8, 2001 Examiner: C.B. Graham

Title: AUTOMATED FIRST LOOK AT MARKET EVENTS

AMENDMENT D

Seattle, Washington 98101 March 9, 2007

TO THE COMMISSIONER FOR PATENTS:

INTRODUCTORY COMMENTS

This amendment is responsive to the Office Action mailed October 10, 2006. Please amend the above-identified application as follows.